A Practical Guide to Reproducible ML Research ICAI Summer School - 2025

Philipp Hager, July 1st 2025

Waterval - M.C. Escher, 1961



About me I'm a 4th year PhD student with Maarten de Rijke and Onno Zoeter at the IRLab (UvA) and the ICAI Mercury Machine Learning Lab (Booking.com).

Previously

Research interests Information retrieval, unbiased learning-to-rank, and user simulation

• Recommender systems at Blinkist, Berlin • M.Sc. Hasso-Plattner Institute, Potsdam • B.Sc. University of Applied Sciences, Düsseldorf



Acknowledgments

Towards reproducible machine learning research in natural language processing SIGIR 2022 tutorial by Ana Lucic, Maurits Bleeker, Maarten de Rijke, Koustuv Sinha, Sami Jullien, Robert Stojnic



Why is reproducibility important?

Crisis? What crisis?



Generative Adversarial Networks (2018)

The authors found **no GAN extension** consistently outperformed the original when controlling for compute budgets [2].

[1] Lucic, Mario, et al. "Are gans created equal? a large-scale study." In NeurIPS 2018. [2] Goodfellow, Ian J., et al. "Generative adversarial nets." In NeurIPS 2014.

A comparison of seven popular GAN methods [1] found that "most models can reach similar scores with enough hyperparameter optimization and random restarts".

Are GANs Created Equal? A Large-Scale Study

Mario Lucic* Karol Kurach*

Marcin Michalski Google Brain

Olivier Bousquet Sylvain Gelly

Abstract

Generative adversarial networks (GAN) are a powerful subclass of generative models. Despite a very rich research activity leading to numerous interesting GAN algorithms, it is still very hard to assess which algorithm(s) perform better than others. We conduct a neutral, multi-faceted large-scale empirical study on state-of-the art models and evaluation measures. We find that most models can reach similar scores with enough hyperparameter optimization and random restarts. This suggests that improvements can arise from a higher computational budget and tuning more than fundamental algorithmic changes. To overcome some limitations of the current metrics, we also propose several data sets on which precision and recall can be computed. Our experimental results suggest that future GAN research should be based on more systematic and objective evaluation procedures. Finally,







Neural Recommender Systems (2019)

Survey of 18 neural top-n recommender systems published at RecSys, KDD, SIGIR, TheWebConf between 2015 and 2018.

Only 7/18 papers could be **reproduced**.

6/7 papers were outperformed by simple **heuristics** (KNN and graph-based methods).

One paper outperformed heuristics but not consistently a well-tuned linear model.

[1] Ferrari Dacrema, Maurizio, Paolo Cremonesi, and Dietmar Jannach. Are we really making much progress? A worrying analysis of recent neural recommendation approaches. In RecSys 2019.

ABSTRACT



Are We Really Making Much Progress? A Worrying Analysis of **Recent Neural Recommendation Approaches**

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Deep learning techniques have become the method of choice for researchers working on algorithmic aspects of recommender systems. With the strongly increased interest in machine learning in general, it has, as a result, become difficult to keep track of what represents the state-of-the-art at the moment, e.g., for top-n recommendation tasks. At the same time, several recent publications point out problems in today's research practice in applied machine learning, e.g., in terms of the reproducibility of the results or the choice of the baselines when proposing new models

In this work, we report the results of a systematic analysis of algorithmic proposals for top-n recommendation tasks. Specifically, we considered 18 algorithms that were presented at top-level research conferences in the last years. Only 7 of them could be reproduced with reasonable effort. For these methods, it however turned out that 6 of them can often be outperformed with comparably simple heuristic methods, e.g., based on nearest-neighbor or graph-based techniques. The remaining one clearly outperformed the baselines but did not consistently outperform a well-tuned non-neural linear ranking method. Overall, our work sheds light on a number of potential problems in today's machine learning scholarship and calls for improved scientific practices in this area.

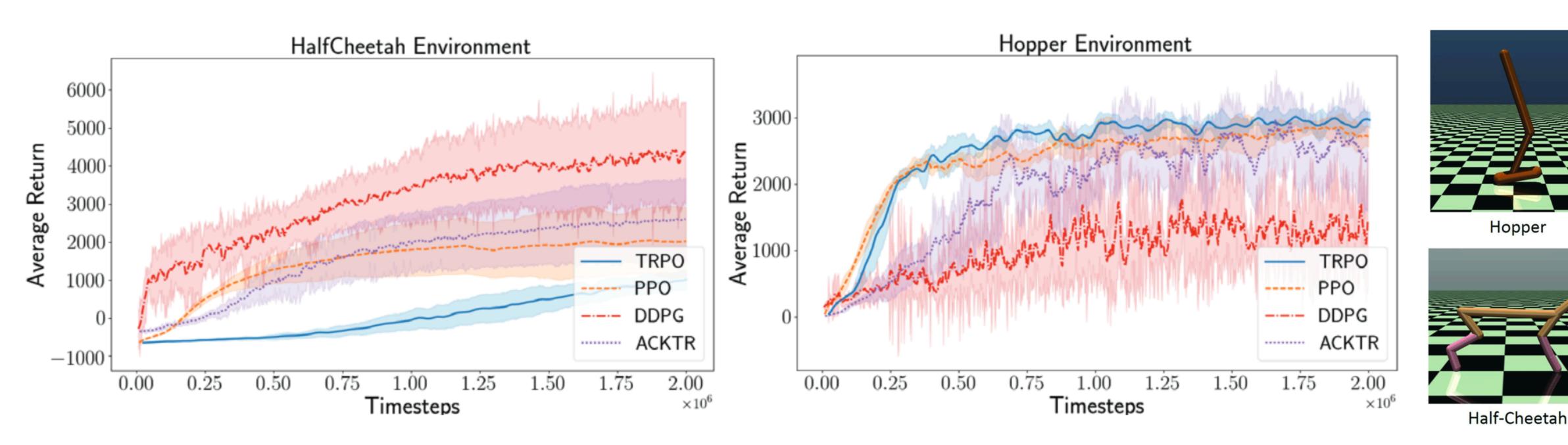
systems. Novel methods were proposed for a variety of settings and algorithmic tasks, including top-n recommendation based on long-term preference profiles or for session-based recommendation scenarios [36]. Given the increased interest in machine learning in general, the corresponding number of recent research publications, and the success of deep learning techniques in other fields like vision or language processing, one could expect that substantial progress resulted from these works also in the field of recommender systems. However, indications exist in other application areas of machine learning that the achieved progress-measured in terms of accuracy improvements over existing models-is not always as strong as expected.

Lin [25], for example, discusses two recent neural approaches in the field of information retrieval that were published at toplevel conferences. His analysis reveals that the new methods do not significantly outperform existing baseline methods when these are carefully tuned. In the context of recommender systems, an in-depth analysis presented in [29] shows that even a very recent neural method for session-based recommendation can, in most cases, be outperformed by very simple methods based, e.g., on nearest-neighbor techniques. Generally, questions regarding the true progress that is achieved in such applied machine learning settings are not new, nor tied to research based on deep learning.



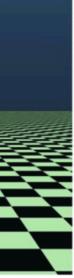
Deep Reinforcement Learning (2018)

Henderson et al. [1] highlight reproducibility challenges for policy gradient methods:



[1] Henderson, Peter, et al. Deep reinforcement learning that matters. In AAAI 2018.

Large performance differences between baselines on related MuJoCo simulations [Figure 4, 1]

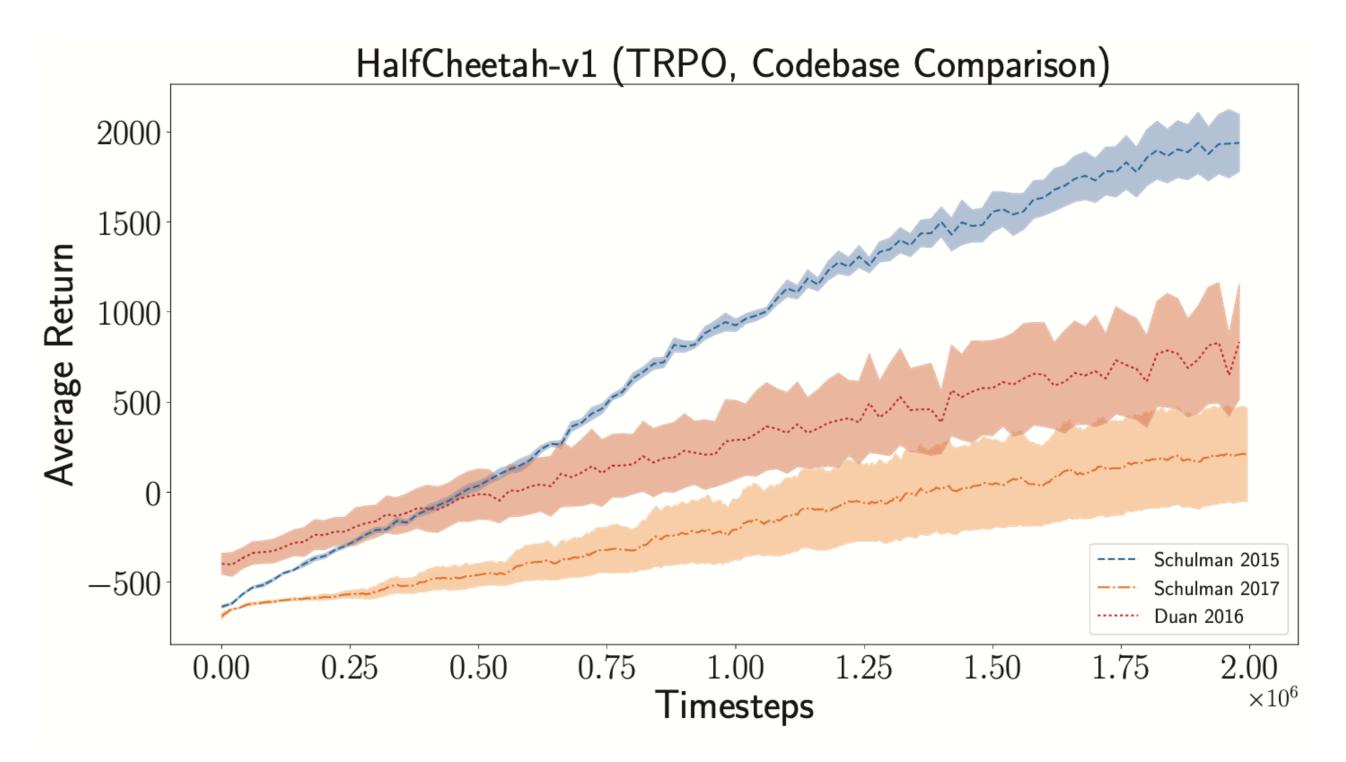






Deep Reinforcement Learning (2018)

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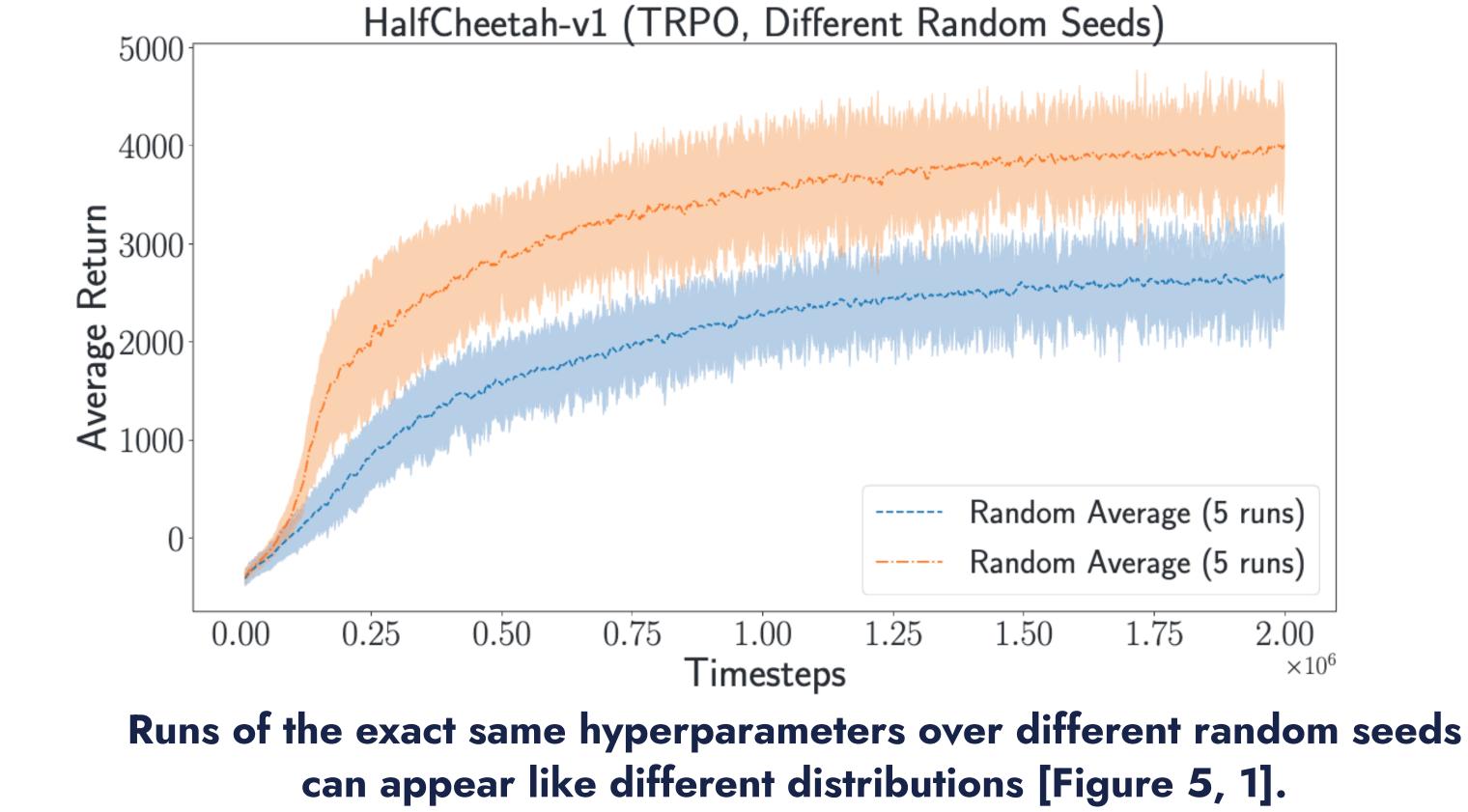
[1] Henderson, Peter, et al. Deep reinforcement learning that matters. In AAAI 2018.

Large performance differences between implementations of the same method due to modeling decisions such as activations, model architecture, ... [Figure 6, 1]



Deep Reinforcement Learning (2018)

Henderson et al. [1] highlight reproducibility challenges for policy gradient methods:



[1] Henderson, Peter, et al. Deep reinforcement learning that matters. In AAAI 2018.

 $\times 10^{6}$



And many more examples...

Reproducibility has been a problem in, e.g.:

- Metric learning [1]
- Deep Bandits [2]
- Computer vision [3]
- Forecasting [4]
- Natural language processing [5]
- Information retrieval [6]

[1] Musgrave, Kevin, Serge Belongie, and Ser-Nam Lim. A metric learning reality check. In ECCV 2020. [2] Riquelme, Carlos, George Tucker, and Jasper Snoek. Deep bayesian bandits showdown: An empirical comparison of bayesian deep networks for thompson sampling. In ICLR 2018. [3] Bouthillier, Xavier, César Laurent, and Pascal Vincent. Unreproducible research is reproducible. In ICML 2019. [4] Makridakis, Spyros, Evangelos Spiliotis, and Vassilios Assimakopoulos. Statistical and Machine Learning forecasting methods: Concerns and ways forward. In PloS 2018. [5] Belz, Anya, et al. A systematic review of reproducibility research in natural language processing. In EACL 2021. [6] Lin, Jimmy. The neural hype and comparisons against weak baselines. In SIGIR Forum 2019.





...also outside of computer science

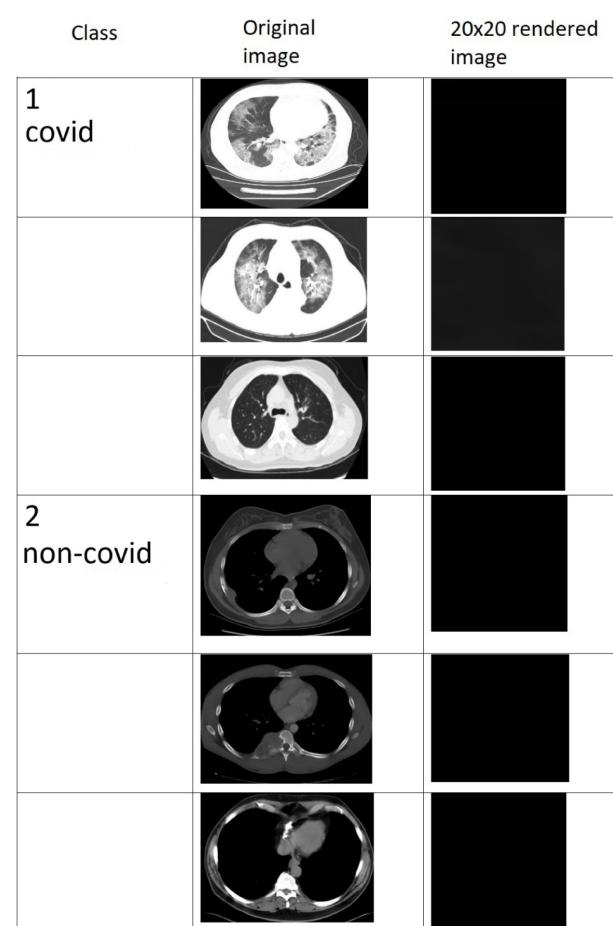
ML is being applied in medicine, chemistry, biology...

During COVID multiple papers proposed COVID classifiers based on chest X-Rays [1].

A follow-up study found COVID could be detected above chance (67%) just from the background [2].

In many cases, this is a problem of data leakage, e.g., similar patients or similar instruments in train/test [3,4].

[1] Khan, Asif Iqbal et al. "CoroNet: A deep neural network for detection and diagnosis of COVID-19 from chest x-ray images." Computer methods and programs in biomedicine 196 (2020). [2] Dhar, Sanchari, and Lior Shamir. "Evaluation of the benchmark datasets for testing the efficacy of deep convolutional neural networks." Visual informatics 5.3 (2021): 92-101. [3] Kapoor, Sayash, and Arvind Narayanan. "Leakage and the reproducibility crisis in machine-learning-based science." Patterns 4.9 (2023). [4] Ball, Philip. Is AI leading to a reproducibility crisis in science? Nature, 2023: <u>https://www.nature.com/articles/d41586-023-03817-6</u>



Chest X-Rays and backgrounds from [2]







[1] Sculley, David, et al. Winner's curse? On pace, progress, and empirical rigor. In ICLR workshop 2018.

- "It is a truism within the community that at least one clear win is needed for acceptance at a top venue.
 - Yet, a moment of reflection recalls that the goal of science is not wins, but knowledge [1]."

What is reproducibility?

A lot of fuzzy terminology





Repeatability

Same team, same experimental setup

Reproducibility

Different team, same experimental setup

Replicability

Different team, different experimental setup

[1] Artifact Review and Badging Version 1.1 - August 24, 2020: <u>https://www.acm.org/publications/policies/artifact-review-and-badging-current</u>

Other conferences, other definitions ... **NeurIPS** definitions

Reproducible

Same experimental setup, same data

Replicable

Same experimental setup, different data

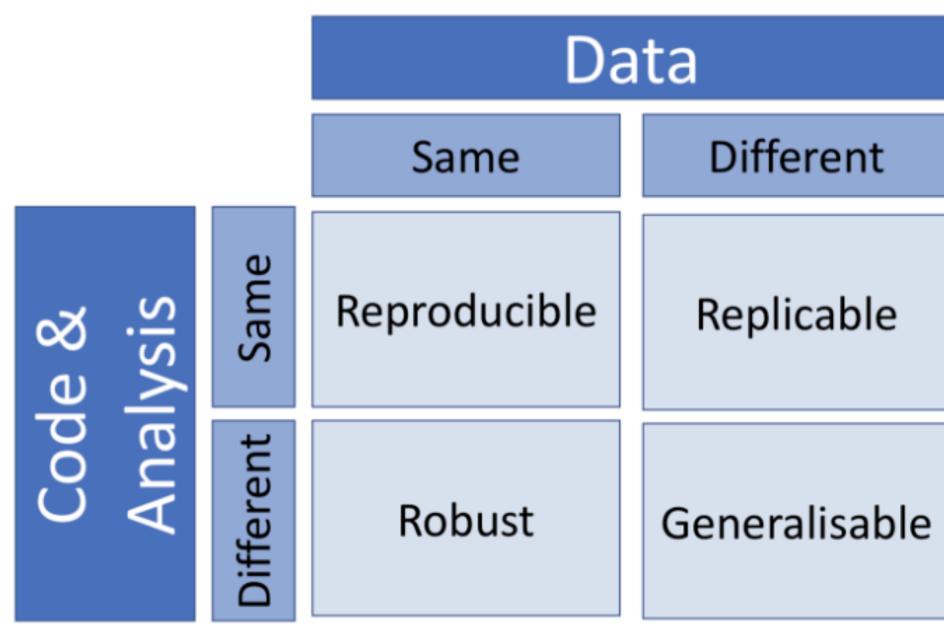
Robust

Different experimental setup, same data

Generalizable

Different experimental setup, different data

[1] Pineau, Joelle, et al. Improving reproducibility in machine learning research. In JMLR 2021.



Defining reproducibility at NeurIPS [1]



... but similar notions

As Gundersen [1] observes: "reproducibility is an elusive concept", but some ideas are similar:

Re-run code

The published code/setup is executable and gives similar results

Re-implement idea

A method can be implemented and gives similar results

Idea/lesson generalizes (actual progress) We can draw similar conclusions in new experimental setups

[1] Gundersen, Odd Erik. The fundamental principles of reproducibility. In Philosophical Transactions of the Royal Society 2021.



What makes papers irreproducible?





I. Documentation & Communication



Insufficient documentation

Gundersen and Kjensmo [1] surveyed 400 papers (2013 - 2016) and found that **documentation** practices in AI render most reported research results irreproducible*, e.g.:

Method

Formulate problem statements (47%), objective (22%), or research questions (6%)

Results

Release train set (56%), test set (30%), or results (4%)

Experiments

Describe the setup (69%), hardware specs (27%), or release code (8%)

[1] Gundersen, Odd Erik, and Sigbjørn Kjensmo. State of the art: Reproducibility in artificial intelligence. In AAAI 2018. * Disclaimer: The authors searched for explicit terms. Thus, the numbers are probably too low.



Insufficient communication

Raff [1] implemented 255 papers (1984 - 2017) from documentation alone (no published code used).

Overall, 63.5% papers could be reproduced*.

50/255 authors were contacted, of which 52% replied:

- 22/26 papers of authors who replied could be reproduced (85%).
- Only 1/24 paper of authors who did not reply could be reproduced (4%).

Current publishing structures do not incentivize follow-up support once a paper is published.

[1] Raff, Edward. "A step toward quantifying independently reproducible machine learning research." In NeurIPS 2019. * A paper was reproducible if 75% of its claims could be verified, see [1, Section 2] for details.

n		

Table 1: Significance test of which paper properties impact reproducibility. Results significant at $\alpha \leq 0.05$ marked with"*".

Feature	p-value	
Year Published	0.964	
Year First Attempted	0.674	
Venue Type	0.631	
Rigor vs Empirical [*]	$1.55 imes 10^{-9}$	
Has Appendix	0.330	
Looks Intimidating	0.829	
Readability [*]	9.68×10^{-25}	
Algorithm Difficulty [*]	2.94×10^{-5}	
Pseudo Code [*]	$2.31 imes 10^{-4}$	
Primary Topic*	$7.039 imes 10^{-4}$	
Exemplar Problem	0.720	
Compute Specified	0.257	
Hyperparameters Specified [*]	$8.45 imes 10^{-6}$	
Compute Needed [*]	$8.75 imes10^{-5}$	
Authors Reply [*]	$6.01 imes 10^{-8}$	
Code Available	0.213	
Pages	0.364	
Publication Venue	0.342	
Number of References	0.740	
Number Equations*	0.004	
Number Proofs	0.130	
Number Tables [*]	0.010	
Number Graphs/Plots	0.139	
Number Other Figures	0.217	
Conceptualization Figures	0.365	
Number of Authors	0.497	

II. Scientific method



Hypothesis testing

Only 47% of AI papers included a problem statement [1]. But let's be honest, we also often start projects with coding / experiments right away.

That, however, can lead to:

- Unclear research questions (RQs)
- Wrong conclusions
- Wasted time, effort, and computational power

Formulate (at least an initial version) the RQs before starting experiments.

[1] Gundersen, Odd Erik, and Sigbjørn Kjensmo. State of the art: Reproducibility in artificial intelligence. In AAAI 2018.

"Grad Student Descent"

- 1. Begin with a baseline
- 2. Try random modifications, e.g., model architecture and hyperparams
- 3. Iterate until local optima / promising results
- 4. Post-hoc rationalize why method works

Ablation studies help, but do not avoid the core issue of overfitting the research process.

[1] Gencoglu, Oguzhan, et al. "HARK Side of Deep Learning--From Grad Student Descent to Automated Machine Learning." arXiv preprint arXiv:1904.07633 (2019).

Problematic hypothesis testing

Cherry-picking: Only report results that support your hypothesis.

P-Hacking: Analyze the results in different ways (e.g., including/excluding covariates) until you find a significant result.

Fishing expeditions: Indiscriminately examine associations between variables without intending to test a priori hypothesis.

[1] Andrade, Chittaranjan. HARKing, cherry-picking, p-hacking, fishing expeditions, and data dredging and mining as questionable research practices. The Journal of clinical psychiatry 2021.



Hypothesizing After the Results are Known (HARKing): Find a significant result and construct your hypothesis retroactively. Note that this is not the same as an exploratory analysis.

Statistical testing

model B. Especially in ML, we often **obtain significant differences** by chance [1, 2].

Here are a few things to keep in mind [3, 4]:

- Compare model runs across seeds and datasets
- Formulate a null hypothesis per dataset
- Correct for multiple comparisons when comparing multiple models
- Perform a power analysis to check if you need more seeds [5]

Report the used tests, the significance level, and add confidence intervals

[1] Reimers, Nils, and Iryna Gurevych. Why comparing single performance scores does not allow to draw conclusions about machine learning approaches. arXiv preprint arXiv:1803.09578 (2018). [2] Dehghani, Mostafa, et al. "The benchmark lottery." arXiv preprint arXiv:2107.07002 (2021). [3] Urbano, Julián, Harlley Lima, and Alan Hanjalic. Statistical significance testing in information retrieval: an empirical analysis of type I, type II and type III errors. In SIGIR 2019. [4] Smucker, Mark D., James Allan, and Ben Carterette. A comparison of statistical significance tests for information retrieval evaluation. In CIKM 2007. [5] Colas, Cédric, Olivier Sigaud, and Pierre-Yves Oudeyer. "How many random seeds? statistical power analysis in deep reinforcement learning experiments."

Comparing the means of two models is not enough to conclude model A is better than

III. Code & data



How NOT to publish code

Implementation details. The source code to reproduce the findings from the paper is available at: https://github.com/

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Create	README.md		last year	🕓 1 Commit
README.md	Cre	eate README.md		last year
D README				Ø
Codes for the	accepted paper on	(working on cleaning it up	o, coming soon!)	





Not publishing all necessary code & data

In [3], 12/21 papers linked a repository. In 2/12 cases, that repository was empty or non-existent. Even if code is published, it is often incomplete [1, 2, 3]:

- **Datasets:** Including splits and preprocessing steps
- **Baselines:** Including code and hyperparameter tuning
- **Method:** All details, final hyperparameters, and random seeds
- **Evaluation protocol & visualizations**
- **Dependencies:** List of all dependencies with exact versions
- **Scripts:** All scripts used to orchestrate the project
- Stale URLs: Links for code and data stop working...

See the NeurIPS guidelines for publishing research code!

[1] Ferrari Dacrema, Maurizio, Paolo Cremonesi, and Dietmar Jannach. Are we really making much progress? A worrying analysis of recent neural recommendation approaches. In RecSys 2019. [2] Ferrari Dacrema, Maurizio, et al. A troubling analysis of reproducibility and progress in recommender systems research. In TOIS 2021. [3] Shehzad, Faisal, and Dietmar Jannach. Everyone'sa winner! on hyperparameter tuning of recommendation models. In RecSys 2023.

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Not polishing code

In most cases, papers defer to the code for exact details. However, code quality impacts understanding and, thus, reproducibility. Code readability can be impacted by, a.o:

- Inconsistent formatting
- Large amounts of commented code (e.g., commenting out different run options)
- Very long methods and complex file structures
- A high amount of redundancy
- Missing comments for unintuitive or difficult code
- Being too modular (not everything has to be a library)

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How to polish code

An incomplete list of things, I think, makes code easier to understand:

- Follow the <u>Python style-guide</u> for naming stuff
- Use (strict) formatters: <u>ruff</u>, <u>black</u>, <u>autopep8</u>
- Remove unused code: <u>isort</u>, <u>autoflake</u>
- Catch bugs early with linters: <u>flake8</u>, <u>pylama</u>
- Remove commented code, look up old code in <u>git</u>
- Use environment managers with reproducible environments: <u>uv</u>, <u>mamba</u>, <u>poetry</u>
- Don't write files to disk unless absolutely necessary
- Write scripts that orchestrate your entire experiment

IV. Uncontrolled randomness



Randomness through design decisions

Pham et al. [2] found up to 10.8% accuracy differences between image classifier runs due to **algorithmic factors** that introduce stochasticity [1, 2]:

- Random weight initialization
- Stochastic operations (dropout, noisy activations)
- Data splitting, shuffling, batch ordering
- Random feature selection (e.g., in random forest)
- Hyperparameter tuning procedure (e.g., Bayesian methods)
- Sampled evaluation metrics [3]

Fix and report random seeds [4], release code, and datasets!

[1] Gundersen, Odd Erik, et al. Sources of irreproducibility in machine learning: A review. In arXiv:2204.07610 2022. [2] Pham, Hung Viet, et al. "Problems and opportunities in training deep learning software systems: An analysis of variance." In ASE 2020. [3] Krichene, Walid, and Steffen Rendle. "On sampled metrics for item recommendation." In KDD 2020. [4] E.g., see: <u>https://pytorch.org/docs/stable/notes/randomness.html</u>

Algorithmic randomness in PyTorch

def seed_everything(seed: int, workers: bool = False):
 # Python's built-in RNG: random.random(), random.choice(), etc.
 random.seed(seed)

NumPy's RNG: np.random.seed(seed)

PyTorch seed for CPU and CUDA [2]: weight init, dropout, sampling torch.manual_seed(seed)

PyTorch Lightning seed for new subprocesses (e.g., in DDP training)
os.environ["PL_GLOBAL_SEED"] = str(seed)
PyTorch Lightning seeds for new DataLoader workers, otherwise [3]
os.environ["PL_SEED_WORKERS"] = f"{int(workers)}"

PyTorch Lightning, seed_everything: <u>https://pytorch-lightning.readthedocs.io/en/1.7.7/_modules/pytorch_lightning/utilities/seed.html#pl_worker_init_function</u>
 PyTorch Reproducibility: <u>https://docs.pytorch.org/docs/stable/notes/randomness.html</u>
 PyTorch seeding DataLoaders: https://docs.pytorch.org/docs/stable/notes/randomness.html#dataloader
 PyTorch deterministic algorithms: <u>https://docs.pytorch.org/docs/stable/generated/torch.use_deterministic_algorithms.html#torch.us</u>

Randomness through implementation

Pham et al. [2] found 2.9% accuracy differences after fixing seeds due to stochastic **implementation details** [1]:

- Frameworks & versions (Jax, PyTorch, TensorFlow)
- **Auto-selection of operations** (cuDNN & CUDA)
- Parallel processing & random memory access
- **Low-precision, quantization, and scheduling** [4]: $(A+B) + C \neq A + (B+C).$ Important when using, e.g., FlashAttention [5]

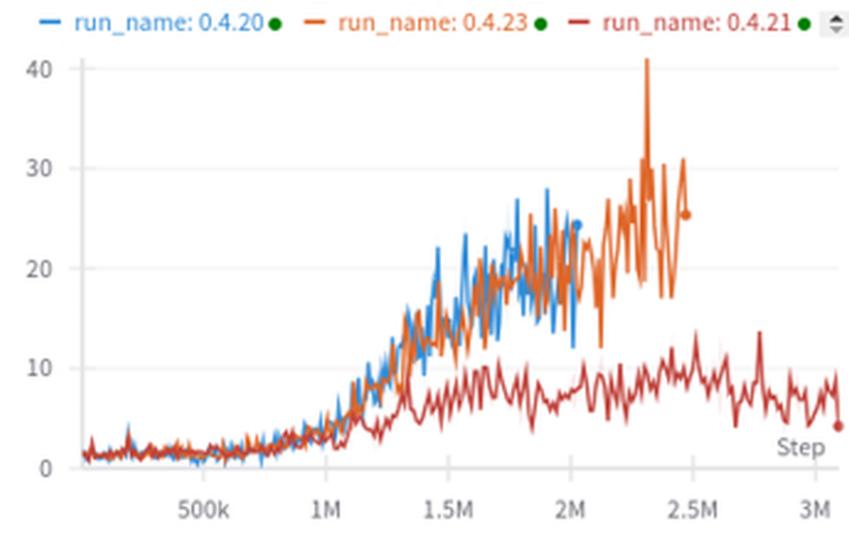
Report software versions, hardware, and any computation optimizations.

[1] Gundersen, Odd Erik, et al. Sources of irreproducibility in machine learning: A review. In arXiv:2204.07610 2022. [2] Pham, Hung Viet, et al. "Problems and opportunities in training deep learning software systems: An analysis of variance." In ASE 2020.

[3] Observation by Sami Jullien and Romain Deffayet

[4] Floating-point operations are not associative due to rounding: Goldberg, David. "What every computer scientist should know about floating-point arithmetic." ACM computing surveys (CSUR) 23.1 (1991): 5-48.

[5] Shah, Jay et al. FlashAttention-3: https://pytorch.org/blog/flashattention-3/



Reward of the same RL model across three different Jax versions [3]





...

Disabling hardware optimization in PyTorch

Balance potential speed-ups and exactly replicable results:

def seed_everything(seed: int, workers: bool = False):

cuDNN benchmarking CUDA convolution operations (slows down code) torch.backends.cudnn.benchmark = False

Use deterministic algorithms if possible (slows down code) [1] torch.use_deterministic_algorithms(True)

Not always advisable!

[1] PyTorch deterministic algorithms: <u>https://docs.pytorch.org/docs/stable/generated/torch.use_deterministic_algorithms.html#torch.use_deterministic_algorithms</u>

V. Baseines

Probably the number #1 complaint across reproducibility studies





Unavailable baselines [1, 2]

E.g., copying results, parameters, or not including baseline code

Untuned baselines [1, 2, 4]

E.g., we use the same parameters as X...

Lack of simple baselines [1, 2, 3]

E.g., not comparing against sensible heuristics

Lack of strong baselines [1, 2, 4, 5, 6]

E.g., not comparing against strong non-neural methods

Incorrectly implemented baselines [4, 6] E.g., different implementations of the same method can vary in performance

[1] Ferrari Dacrema, Maurizio, et al. A troubling analysis of reproducibility and progress in recommender systems research. In TOIS 2021. [2] Shehzad, Faisal, and Dietmar Jannach. Everyone's a winner! On hyperparameter tuning of recommendation models. In RecSys 2023.

[3] Li, Ming, et al. A next basket recommendation reality check. In TOIS 2023.

[4] Petrov, Aleksandr, and Craig Macdonald. A systematic review and replicability study of bert4rec for sequential recommendation. In RecSys 2022. [5] Lin, Jimmy. The neural hype and comparisons against weak baselines. In SIGIR Forum 2019.

[6] Qin, Zhen, et al. Are neural rankers still outperformed by gradient boosted decision trees?. In ICLR 2021.



Untuned baselines

Shehzad and Jannach [1] surveyed 21 recommender systems from KDD, RecSys, SIGIR, TheWebConf, and WSDM in 2022 and found:

- 6/21 papers contain no information about hyperparameters at all.
- 4/21 papers copy parameters from previous work.
- 4/21 papers use the same parameters across datasets.
- 7/21 papers list parameter ranges but not the tuning method.

Only two papers describe parameter ranges, the final values, tuning methods, and tune across datasets.

Only one of the two papers also released their code.

[1] Shehzad, Faisal, and Dietmar Jannach. Everyone's a winner! On hyperparameter tuning of recommendation models. In RecSys 2023.

Untuned baselines

The authors go on to demonstrate **the importance** of tuning baselines:

Even the worst-performing tuned model outperformed all other untuned method

In short, everyone is a winner!

[1] Shehzad, Faisal, and Dietmar Jannach. Everyone's a winner! On hyperparameter tuning of recommendation models. In RecSys 2023.

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Tuned models			
ML-1M			
Model	nDCG@10	Model	
Mult-DAE	0,300	NeuMF	
Mult-VAE	0,294	Mult-VAE	
GMF	0,280	GMF	
NeuMF	0,277	Mult-DAE	
ONCF	0,225	MostPop	
MostPop	0,162	ConvMF	
ConvMF	0,160	NGCF	
NGCF	0,100	ONCF	
Non-tuned models	>		
Mult-DAE	0,071	Mult-DAE	
ONCF	0,037	Mult-VAE	
ConvMF	0,022	ConvMF	
NeuMF	0,021	GMF	
GMF	0,016	NGCF	
NGCF	0,013	ONCF	
Mult-VAE	0,006	NeuMF	

Comparison of tuned and untuned models on ML-1M [1]

Making reproducibility easier Some opinionated tips and tools that might be useful



- Dependency management
- Config management
- Parameter tuning
- Managing experiments
- Data management
- Documentation

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[1] Koustuv Sinha, Robert Stojnic - ML Reproducibility Tools and Best Practices: https://koustuvsinha.com//practices_for_reproducibility/



ML Reproducibility Tools and Best Practices

Koustuv Sinha, Jessica Zosa Forde Aug 5, 2020 · 12 min read

A recurrent challenge in machine learning research is to ensure that the presented and published results are reliable, robust, and reproducible [4,5,6,7].

Reproducibility, obtaining similar results as presented in a paper using the same code and data, is necessary to verify the reliability of research findings. Reproducibility is also an important step to promote open and accessible research, thereby allowing the scientific community to quickly integrate new findings and convert ideas to practice. Reproducibility also promotes the use of robust experimental workflows, which potentially reduce unintentional errors.

In this blog post, we will share commonly used tools and explain 12 basic practices that you can use in your research to ensure reproducible science.

An overview from the organizers of the **ML Reproducibility Challenge (MLRC)** [1]

Dependency management using UV

Features [1]:

- Extremely fast dependency resolution
- Lockfile and pyproject.toml support
- Ruff linter & formatter (with Jupyter support)
- Easily publish packages

[1] UV package manager: <u>https://docs.astral.sh/uv/getting-started/features/</u> [2] Ruff: https://docs.astral.sh/ruff/formatter/

UV is a fast package & project manager, I'd recommend it over Mamba, Conda, or Poetry:

Create virtual environments: uv venv my_env --python 3.13

Install dependencies: uv add numpy

Lock dependency versions: uv lock

Run build tools, e.g., the ruff linter: uvx ruff check

Ruff formatter: uvx ruff format

Config management using Hydra

Replace argparse with config files [1]:

python train.py \
 --optimizer adam \
 --learning_rate 0.0003 \
 --weight_decay 0.9 \
 --model model_a \
 --layers 5 \
 --hidden_dim 100 \
 --dropout 0.2 \
 --activation gelu \

•••

[1] Hydra config manager: <u>https://hydra.cc/</u>

config.yaml
optimizer: adam
learning_rate: 0.0003
weight_decay: 0.9

model/model_a.yaml
layers: 5
hidden_dim: 100
activation: gelu
dropout: 0.2

model/model_b.yaml
layers: 3
hidden_dim: 512
activation: elu

Config management using Hydra

1. Compose & override configurations:

python train.py model=model_a data=data_b

2. Sweep hyperparameter configurations and ranges

python train.py model=model_a,model_b learning_rate=0.01,0.001,0.0001

3. Run in parallel on SLURM

python train.py model=model_a,model_b +hydra/launcher=submitit_slurm

[1] Hydra config manager: <u>https://hydra.cc/</u>

- Instantiate objects, assemble experiments, search hyperparameters, logging, ... Be aware of too complex parameter configurations, it makes code hard to follow.

Tuning hyperparameters

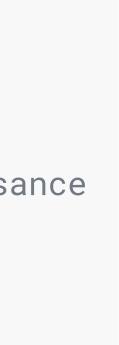
Google's Deep Learning Tuning Playbook [1]

- Start simple and make incremental improvements.
- First, explore your parameter space through random or grid search.
- Learn about <u>scientific</u>, <u>nuisance</u>, <u>and fixed</u> <u>hyperparameters</u> to know what to tune each round.
- Maximize performance with black-box optimizers only when you understand your parameters well (e.g., using Optuna, Nevergrad, or Ax).

[1] https://github.com/google-research/tuning playbook?tab=readme-ov-file#a-scientific-approach-to-improving-model-performance

```
python train.py \
    layers=2,3,4 \ # Scientific
    learning_rate=0.03,0.003,0.0003 \ # Nuisance
    dropout=0.25 # Fixed
```

To tune model depth (scientific param), we always need to adjust the learning rate (nuisance param):







- Dependency management
- Config management
- Parameter tuning
- Managing experiments
- Data management
- Documentation

• • •

Many tools can make experimentation easier, e.g. [1]:

- Track experiments (names, parameters, versions, etc.)
- Plot metrics in real-time
- Checkpoint models and data artifacts
- Integrate hyperparameter tuning libraries
- Share results with collaborators
- **Tools:** Weights & Biases, MLFlow, Comet.ML, Neptune.ai, Aim, TensorBoard, PyTorch Lightning

[1] Koustuv Sinha, Robert Stojnic - ML Reproducibility Tools and Best Practices: https://koustuvsinha.com//practices_for_reproducibility/



- Dependency management
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- Your institution?
- <u>HF datasets (up to 300GB)</u>, <u>DVC (unlimited)</u>

[1] MacAvaney, Sean, et al. Simplified data wrangling with ir_datasets. In SIGIR 2021. [2] Gebru, Timnit, et al. Datasheets for datasets. Communications of the ACM 2021.



Use established libraries in your field: HuggingFace (HF) datasets

Publish your datasets in permanent locations:

Document your datasets:

Datasheets [2], <u>HF dataset cards</u>, <u>Google data cards</u>

- Dependency management
- Config management
- Parameter tuning
- Managing experiments
- Data management
- **Documentation**

Document your model [1]:

- Authors, license, funding
- Model architecture, training, evaluation
- Risks, limitations, biases
- Carbon emissions [3]
- Usage examples
- Citation
- [1] Mitchell, Margaret, et al. Model cards for model reporting. In FAccT 2019.

[2] <u>https://huggingface.co/docs/hub/model-card-landscape-analysis#summary-of-ml-documentation-tools</u>

[3] <u>https://mlco2.github.io/impact/</u>

• • •



See [2] for a comprehensive overview of documentation tools.



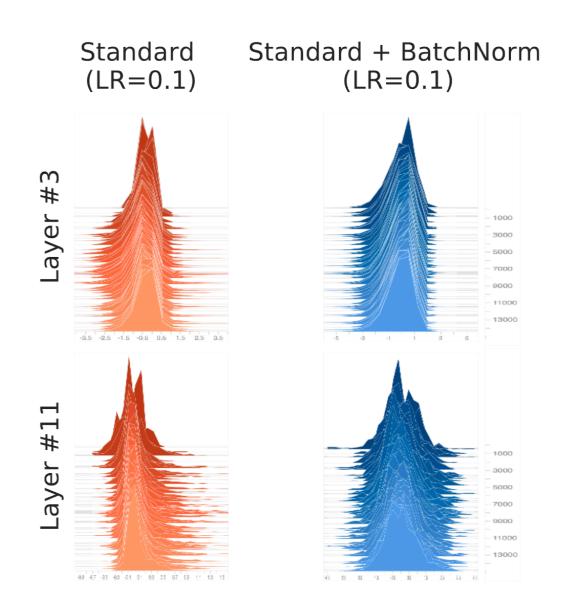




Why does BatchNorm work?

- BatchNorm was a highly successful innovation, allowing to train • much deeper NNs.
- The original paper stated that it reduces "Internal Covariate Shift" of activations, without ever demonstrating the problem or rigoroulsy defining why it speeds up SGD.
- Rahimi publicly criticized our lack of understanding in DL research in 2017 as Alchemy [3].
- Later, Santurkar et al. [2] found no pronounced "covariate shift", but rather a smoothing of the loss landscape (smaller gradients).

[1] loffe, Sergey, and Christian Szegedy. "Batch normalization: Accelerating deep network training by reducing internal covariate shift." In ICML 2015. [2] Santurkar, Shibani, et al. "How does batch normalization help optimization?." In NeurIPS 2018. [3] Ali Rahimi's test of time award speech at NeurIPS 2017. <u>https://www.youtube.com/watch?v=Qi1Yry33TQE</u>



Input distributions showing little covariate shift over time [2].



Exploratory vs. Empirical Research

Bouthillier et al. see BatchNorm as exploratory research [1]:

- BatchNorm was very impactful (as the observations generalized).
- But it took more rigorous empirical follow-up work to explain it.
- However, important follow-up work on normalization was published [2, 3] before the Internal Covariate Shift hypothesis was debunked.

Bouthillier et al. argue that both **exploratory** and **empirical research** are important, the balance is important.

[1] Bouthillier, Xavier, César Laurent, and Pascal Vincent. "Unreproducible research is reproducible." In ICML 2019.

[2] Salimans, Tim, and Durk P. Kingma. "Weight normalization: A simple reparameterization to accelerate training of deep neural networks." In NeurIPS 2016.

[3] Ba, Jimmy Lei, Jamie Ryan Kiros, and Geoffrey E. Hinton. "Layer normalization." arXiv preprint arXiv:1607.06450 (2016).

[4] Yann LeCun, Answer to Ali Rahimi's test of time award in 2017: https://www.facebook.com/yann.lecun/posts/10154938130592143

[5] Visualization from https://sketchplanations.com/looking-under-the-lamppost



In the alchemy debate, Yann LeCun warned of the streetlight effect [4,5].





Writing reproducibility papers



Those in glass houses ...

A reproducibility paper should be reproducible [1, 2, 3]:

Reproduction hinting add valid flaws, but repeats similar mistakes ML Reproducibility Challenge 2022

A review for a reproducibility paper at MLRC 2022.

Not including all code/data in a reproducibility paper is a **reason** for desk rejection at some conferences [2].

[1] SIGIR 24: https://sigir-2024.github.io/call_for_res_rep_papers.html

[2] RecSys 24: <u>https://recsys.acm.org/recsys24/call/#content-tab-1-1-tab</u>

[3] ECIR24: <u>https://www.ecir2024.org/2023/07/10/call-for-reproducibility-papers/</u>

New and important lessons

"We are particularly interested in **reproducibility papers** (different team, different experimental setup) rather than replicability papers (different team, same experimental setup). The emphasis is [...] on generating new research insights with existing approaches [1]."

Key points to consider [1, 2, 3, 4]:

- **Novelty:** Are your findings and your setup novel?
- **Generalizability:** Which lessons from prior work hold up?
- **Impact:** Are your conclusions important for the scientific community?



^[1] SIGIR 24: https://sigir-2024.github.io/call_for_res_rep_papers.html

^[2] RecSys 24: <u>https://recsys.acm.org/recsys24/call/#content-tab-1-1-tab</u>

^[3] ECIR24: <u>https://www.ecir2024.org/2023/07/10/call-for-reproducibility-papers/</u>

^[4] MLRC 23: https://reproml.org/call_for_papers/

Everybody makes mistakes

Involve the original authors in the process Ask for code, ask questions, discuss findings, send the final manuscript, and plan adequate response times (e.g., 30 days).

The golden rule

Write the paper as if somebody else writes about your work.

Hanlon's razor [1]

Never attribute to malice that which can be adequately explained by neglect, ignorance, or incompetence*.

[1] Arthur Bloch. Murphy's Law Book Two: More Reasons Why Things Go Wrong! p. 52. ISBN 9780417064505, 1980. * the original quote just states: "[...] adequately explained by stupidity", but I think the version above is more useful.



Conclusion



Concluding

- Reproducibility is at the heart of scientific progress in ML research.
- Producing truly reproducible work is much more than just publishing code.
- Select strong baseline implementations and tune them with care.
- Tools can make reproducibility easier, but ultimately, it comes down to continually striving to publish clear, open, and detailed research in exchange with our peers.
- When conducting reproducibility work, focus on novelty, generalizability, and impact of an idea, and try to involve the original authors.



Any questions?

Y

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Day and Night - M.C. Escher, 1938

